



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/802,314	03/08/2001	2652	840	9281/3900	19	17	2

757  
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60611

CONFIRMATION NO. 5260

CORRECTED FILING RECEIPT



\*OC000000010061119\*

Date Mailed: 05/19/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Kiyoshi Sato, Residence Not Provided;  
Toshinori Watanabe, Residence Not Provided;  
Hideki Gochou, Residence Not Provided;  
Kiyoshi Kobayashi, Residence Not Provided;  
Toru Takahashi, Residence Not Provided;  
Hisayuki Yazawa, Residence Not Provided;  
Masaki Ikegami, Residence Not Provided;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

JAPAN 2000-065288 03/09/2000

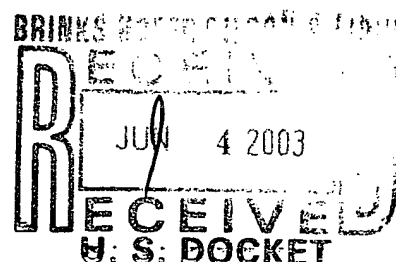
**If Required, Foreign Filing License Granted: 04/13/2001**

**Projected Publication Date: To Be Determined - pending completion of Missing Parts**

**Non-Publication Request: No**

**Early Publication Request: No**

**Title**



Thin-film magnetic head appropriately suppressing side fringing and method for fabricating the same

**Preliminary Class**

360

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



2600 Receipt #18

TRANSMITTAL LETTER			Case No. 9281/3900
Serial No. 09/802,314	Filing Date March 8, 2001	Examiner Not Yet Assigned	Group Art Unit 2652
Inventors KIYOSHI SATO, TOSHINORI WATANABE, HIDEKI GOCHOU, KIYOSHI KOBAYASHI, TORU TAKAHASHI, AND HISAYUKI YAZAWAL			
Title of Invention THIN-FILM MAGNETIC HEAD APPROPRIATELY SUPPRESSING SIDE FRINGING AND METHOD FOR FABRICATING THE SAME			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is a Request for Filing Receipt Correction (in duplicate); copy of official Filing Receipt; and

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☒ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity

Rate	Add'l Fee
x \$9 =	
x 43 =	
+ \$145	
Total add'l fee	\$


or

Other Than Small Entity

Rate	Add'l Fee
x \$18 =	
x \$86	
+ \$290 =	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

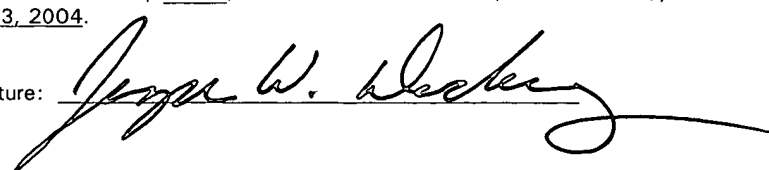
Respectfully submitted,

  
Jasper W. Dockrey, Registration No. 33,868  
Attorney for Applicants  
Customer No. 00757 - Brinks Hofer Gilson & Lione

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop \_\_\_\_\_, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, on January 13, 2004.

Date: January 13, 2004

Signature: 



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Mail Stop \_\_\_\_\_, Commissioner for Patents  
P.O. Box 1450, Alexandria, Virginia 22313-1450  
on January 13, 2004

\_\_\_\_\_  
Date of Deposit

\_\_\_\_\_  
Jasper W. Dockrey, Reg. No. 33,868

\_\_\_\_\_  
Name of applicant, assignee or  
Registered Representative

*Jasper W. Dockrey*  
\_\_\_\_\_  
Signature  
January 13, 2004  
\_\_\_\_\_  
Date of Signature

Case No. 9281/3900  
Alps No. N US00052

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )

SATO et al. )

Serial No.: 09/802,314 )

Filing Date: March 8, 2001 )

For: THIN-FILM MAGNETIC HEAD )  
APPROPRIATELY SUPPRESSING )  
SIDE FRINGING AND METHOD FOR )  
FABRICATING THE SAME )

Examiner:  
T. Chen

Group Art Unit:  
2652

### REQUEST FOR CORRECTION OF FILING RECEIPT

Mail Stop \_\_\_\_\_  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

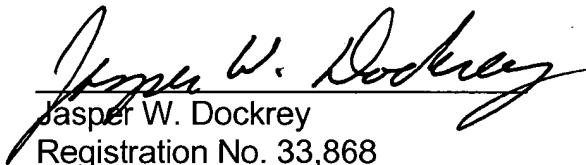
Applicants have enclosed a copy of the official Filing Receipt and request the issuance of a corrected filing receipt for the above-identified application.

The last named inventor "Masaki Ikegami" is deceased and should be listed as same. His legal representatives should also be listed. Please correct as follows:

--Masaki Ikegami (deceased)  
Hiromi Ikegami (legal representative of Masaki Ikegami)  
Chiaki Ikegami (legal representative of Masaki Ikegami)--.

The Commissioner is hereby authorized to charge any fees required to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

  
Jasper W. Dockrey  
Registration No. 33,868  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 0610  
(312) 321-4200